

PLEASE RETURN THE COMPLETE APPLICATION PACKAGE TO:



Government of the District of Columbia  
Department of Energy and Environment  
Lead and Healthy Housing Division  
Compliance & Enforcement Branch  
1200 First Street, N.E., 5<sup>th</sup> Floor, Washington, D.C. 20002  
Telephone: 202-535-1934 Fax: 202-481-3770

DOEE APPLICATION FOR LEAD-BASED PAINT  
CERTIFICATION FORM BUSINESS ENTITY

Updated December 2015

<b>FOR OFFICE USE ONLY</b>	
Date Received:	_____
Amount Received:	_____
Check #:	_____
Application Approved:	Yes/No
Certification #:	_____
Exp. Date:	_____
Authorized Signature:	_____
Date Processed:	_____

I. APPLICATION STATUS (Check only one) Business Entity \$300.00/5yr  
\*Make check/money order payable to D.C. Treasurer  
Returned Check Fee: \$65.00 Replacement ID Card fee: \$25.00

1. NEW/INITIAL APPLICATION  RENEWAL  D.C. Certification No.: \_\_\_\_\_ D.C. Certification Expiration Date: \_\_\_\_\_

II. BUSINESS INFORMATION PLEASE PRINT CLEARLY

2. BUSINESS NAME \_\_\_\_\_

3. FEDERAL EMPLOYER TAX IDENTIFICATION NUMBER \_\_\_\_\_

4. STREET ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_

5. TELEPHONE NUMBERS \_\_\_\_\_ TELEPHONE \_\_\_\_\_ FACSIMILE \_\_\_\_\_ BEEPER/PAGER/CELLULAR \_\_\_\_\_

6. E-MAIL ADDRESS \_\_\_\_\_ WEBSITE ADDRESS: \_\_\_\_\_

7. CAN DOEE LIST YOUR BUSINESS NAME, CITY, STATE, CERTIFICATION NUMBER, EXPIRATION DATE AND CERTIFICATION TYPE ON OUR WEBSITE AS CERTIFIED BY AND WORKING IN D.C.? Yes  No

8. TYPE OF BUSINESS (select only one)

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Association               | <input type="checkbox"/> Government Agency |
| <input type="checkbox"/> General Partnership | <input type="checkbox"/> Professional Corporation  | <input type="checkbox"/> Federal           |
| <input type="checkbox"/> Limited Partnership | <input type="checkbox"/> Limited liability Company | <input type="checkbox"/> District          |
| <input type="checkbox"/> Corporation         | <input type="checkbox"/> Non Profit                |  |

9. TYPES OF LEAD-BASED PAINT AND RELATED ACTIVITIES CONDUCTED BY YOUR BUSINESS (should reconcile with your type of business work):

- |                                      |  |  |  |
|--------------------------------------|--|--|--|
| Abatement <input type="checkbox"/>   | Inspections <input type="checkbox"/>             | Project Designs <input type="checkbox"/>       | Clearance Testing <input type="checkbox"/> |
| Demolition <input type="checkbox"/>  | Risk Assessments <input type="checkbox"/>        | Renovation/Remodeling <input type="checkbox"/> | Other _____ <input type="checkbox"/>       |
| Residential <input type="checkbox"/> | Child-Occupied Facility <input type="checkbox"/> | Commercial <input type="checkbox"/>            | Specify _____                              |

DOEE APPLICATION BY BUSINESS ENTITY FOR LEAD-BASED PAINT CERTIFICATION - FORM

10. For those using an X-RAY FLUORESCENCE ANALYZER (XRF), the District of Columbia is not an agreement jurisdiction with the Nuclear Regulatory Commission (NRC). Contact NRC before conducting work in the District of Columbia. WILL YOU USE AN X-RAY FLUORESCENCE ANALYZER (XRF)? Yes  No

IF YOU INDICATED NO ABOVE AND DECIDE TO USE AN XRF LATER, YOU MUST NOTIFY NRC AND DOEE PRIOR TO USAGE. FAILURE TO CONTACT THIS OFFICE MAY RESULT IN ENFORCEMENT ACTIONS. ADDITIONALLY, DOEE WILL REFER ANY KNOWN VIOLATORS TO NRC.

11. NAME AND TITLE OF COMPANY OFFICIALS

Table with 4 columns: NAME, TITLE, NAME, TITLE. Each column has a horizontal line above it for text entry.

12. Has any federal, state or local jurisdiction ever revoked, suspended, modified, or proposed to revoke, suspend, or modify any permit, license, certification or approval your company has held or currently holds, or has any penalty or fine been assessed against your company or business for failure to comply with the laws and regulations governing lead-based paint activities?

NO  YES  If you answered "YES" to the above question, you MUST provide a detailed statement to fully explain the circumstance. This statement then must be attached to this application.

13. EACH business must be registered with DCRA's Corporations Division, registered with the Office of Tax Revenue and licensed under the Basic Business License program with DCRA. Contact DCRA at (202)442-4400 or visit http://dcra.dc.gov/service/apply-basic-business-license-bbl for more information. Proof of the DCRA Basic Business License or exemption must be attached to this application.

14. Attach a copy of certification for each employee and subcontractor to demonstrate that you've met the requirements of 20 DCMR § 3311.2

15. Attach proof of current general liability insurance including environmental liability in an amount sufficient to cover the lead-based paint activity. If you plan to conduct lead abatement activities, attach a copy of a Certificate of Liability Insurance, proving the applicant's current policy coverage for at least one million dollars (\$1,000,000) for individual environmental, lead claims or pollution insurance, which the applicant shall maintain throughout the entire period that the lead abatement permit is in effect. If you plan to conduct renovation activities, attach a copy of a Certificate of Liability Insurance, proving the applicant's current policy coverage for at least two hundred fifty thousand dollars (\$250,000) for individual environmental or lead claims, which the applicant shall maintain throughout the entire period that the renovation permit is in effect.

By checking this box, I understand THAT IN ORDER TO BE CERTIFIED, I MUST COMPLY WITH THE SPECIFIC requirements listed above and on page 3 of this application THAT WOULD APPLY TO THE TYPE OF CERTIFICATION FOR WHICH I AM APPLYING and required under 20 DCMR §§ 3311 & 3322.

16. AFFIDAVIT

The information that I have provided in this "DOEE Application for Lead-Based Paint Certification Form" is true, accurate, and complete to the best of my knowledge. I certify that I am authorized to sign this application on behalf of the persons listed in this application as the owners, partners, shareholders, officers, and directors of the company applying for certification. I understand that my application is subject to verification, and I agree to provide any additional documentation required to review it. I also understand that outside sources may be contacted for purposes of verifying the information contained in this application, and I hereby give permission for the disclosure of any information that may be needed to determine the validity of the information that I have provided and/or to determine to eligibility for certification. I understand that failure to provide full disclosure of any requested information that may be needed to determine the validity of this application or eligibility for certification may result in the rejection of this application. I also understand that completion of this application does not guarantee certification in the District of Columbia. Further, I understand that if the Department finds that I have made a false statement or misrepresentation material to the issuance, modification, or renewal of a certification, the Department may, after notice and opportunity for hearing, suspend, revoke, modify, or refuse to issue, renew, or restore a certification. The Department may also seek to impose administrative, civil, or criminal penalties. Finally, I understand that under D.C. Official Code § 22-2405, any person convicted of making false statements shall be fined not more than \$1,000, or imprisoned for not more than 180 days, or both. A person commits the offense of making false statements if that person willfully makes a false statement that is in fact material, in writing directly or indirectly to any instrumentality of the District of Columbia Government, under circumstances in which the statement could reasonably be expected to be relied upon as true.

Signature of Attesting Individual

Title

Date

Print Name

## **DOEE REGULATIONS ESTABLISHING REQUIREMENTS FOR BUSINESS ENTITIES AND FIRMS**

### **20 DCMR § 3311 CERTIFICATION OF BUSINESS ENTITIES PERFORMING LEAD-BASED PAINT ACTIVITIES AND OF FIRMS CONDUCTING RENOVATION ACTIVITIES**

- 20 DCMR § 3311.1 To become certified, a business entity or a firm shall comply with all applicable requirements of this section before any employee or sub-contractor of the business entity or firm may conduct a lead-based paint activity, clearance examination, or renovation in a dwelling unit or child-occupied facility built before 1978.
- 20 DCMR § 3311.2 The business entity or firm shall be responsible for ensuring that each employee and subcontractor of the business entity conducting a lead-based paint activity, clearance examination, or renovation for the entity, is:
- (a) Certified pursuant to §§ 3307 or 3308;
  - (b) In compliance with the provisions of §§ 3302, 3304 and 3310; and
  - (c) In compliance with all applicable federal and District laws, regulations, and rules governing the disposal of all waste containing lead.
- 20 DCMR § 3311.3 An entity applying for certification as a business that conducts lead-based paint activities or as a firm that conducts renovation activities in the District of Columbia shall:
- (a) Document that the entity has a valid DCRA license, if required, to do business in the District;
  - (b) Submit documentation to DOEE that proves that the entity has liability insurance for at least one million dollars (\$1,000,000), which the entity shall maintain for the entire period of the DOEE business entity certification;
  - (c) Execute a District of Columbia Clean Hands Self-Certification Form stating that paragraph (c) above has been met; and
  - (d) Pay the applicable certification fee required under § 3322.
- 20 DCMR § 3311.4 The business entity or firm shall comply with the recordkeeping requirements of D.C. Official Code § 8-231.01 *et seq.*
- 20 DCMR § 3311.5 A business entity or firm's certification shall expire after five (5) years.



**Government of the District of Columbia  
 Department of Energy and Environment  
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 Compliance & Enforcement Branch**

**CLEAN HANDS SELF-CERTIFICATION FORM**

TO THE APPLICANT: Please read this form carefully and completely before signing. The District Government shall not issue or reissue any license or permit if the applicant owes it more than \$100 in outstanding debt. A false statement on this certification requires that the Department of Energy and Environment (DOEE), proceed immediately to revoke the certification, accreditation and/or permit or renewal for which you are now applying and fine you \$1,000. This certification form is required to be completed and submitted with any application for a certification, accreditation and/or permit or renewal by the Clean Hands Before Receiving a License or Permit Act of 1996, effective May 11, 1996 (DC Law 11-118, DC Official Code Sec. 47-2861 et seq.) as amended, effective October 21, 2000 (DC Law 13-183, sec. 2(b), DC Code sec. 47-2861 et. Seq.).

I, \_\_\_\_\_, as \_\_\_\_\_ certify that \_\_\_\_\_  
 (Name) (Owner/Partner/Corporate Officer) (Business Name)

trading as \_\_\_\_\_ at \_\_\_\_\_ using business tax number \_\_\_\_\_,  
 (Trade Name) (Business Address) (FEIN/SSN)

As of the date, does not owe more than more than one hundred dollars (\$100) in outstanding debt to the District of Columbia government as a result of:

1. Fines, penalties, or interest assessed pursuant to the Lead-Hazard Prevention and Elimination Act of 2008, effective March 31, 2009 as amended (DC Law 17-381; D.C. Official Code § 8-231.01 *et seq*); or
2. Fines, penalties, or interest assessed pursuant to the Litter Control Administration Action of 1985, effective March 25, 1986, (DC Law 6-100; DC Code Sec. 8-801 (et seq.) (2001 ed.); or
3. Fines, penalties, or interest assessed pursuant to the Illegal Dumping Enforcement Act of 1994, effective May 20, 1994 (DC Law 10-117; DC Code Sec. 8-901 (et seq.) (2001 ed.); or
4. Fines, penalties, or interest assessed pursuant to the Department of Consumer and Regulatory Affair (DCRA) Civil Infraction Act of 1985, effective October 5, 1985 (DC Law 6-42; DC Code Sec. 2-1801.01 (et. seq.) (2001 ed.); or
5. Past Due Taxes owed to the Office of Tax and Revenue pursuant to Title 47 of the DC Code; or
6. Past due District of Columbia Water and Sewer Authority service fees pursuant to Title 34 Chapter 22 and 24 of the DC Code (2001 ed.); or
7. Fines, penalties or interest assessed pursuant to Traffic Adjudication Act, Title 50, Chapter 23, of the DC Code (2001 ed.)

I understand that a signed and dated *Clean Hands Self-Certification Form* is required as documentation to accompany my application for a certification, accreditation and/or permit or renewal. I understand that by completing and submitting this form, I am not guaranteed that my certification, accreditation and/or permit or renewal will be approved.

I understand that the Department of Energy and Environment (DOEE) and/or the Department of Consumer and Regulatory Affairs (DCRA) may conduct an investigation to ascertain the veracity of the information contained in this *Clean Hands Self-Certification Form*.

I understand that if I knowingly provide false information on this Clean Hands Self-Certification Form, DOEE will proceed immediately to revoke each certification, accreditation and/or permit or renewal for which I am applying, and to fine me one thousand dollars (\$1,000).

\_\_\_\_\_  
**SIGNATURE OF APPLICANT and TITLE**

\_\_\_\_\_  
**FEN/SSN**

\_\_\_\_\_  
**DATE**