

District of Columbia  
Department of Energy and Environment



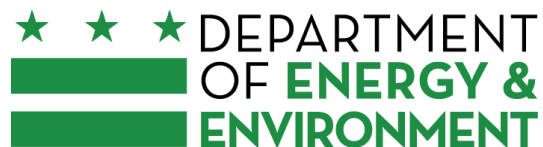
**NOTICE OF FUNDING AVAILABILITY  
AND  
REQUEST FOR APPLICATIONS (RFA)**

Green Stormwater Infrastructure Maintenance  
(Short name: GSI Maintenance)  
RFA # 2021-2124-WPD

**Publication Date:** September 10, 2021

**Application Deadline:** October 12, 2021 at 11:59 p.m.

Government of the District of Columbia  
Department of Energy and Environment  
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5th Floor  
Washington, DC 20002  
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## **SECTION 1. GENERAL INFORMATION**

### **1.1 Introduction**

The Department of Energy and Environment (DOEE) solicits grant applications from eligible entities (called “Applicant” or “Applicants”). The goals of this Request for Applications (RFA) are to enable an entity to undertake green stormwater infrastructure practice (GSI) maintenance work while creating gainful employment opportunities for District residents. The grantee will maintain GSI that has been installed by District agencies, almost all of it located on District-owned property.

The funded grantee will be one that has developed a proposal that is complete, innovative, and cost-effective, providing maintenance to GSI that will benefit local water quality, beautify neighborhoods, and create meaningful, locally-sourced employment opportunities. The combination of these two features suggests that an applicant may consist of a lead entity and one or more partners or subgrantees/subcontractors.

The Watershed Protection Division (WPD) of DOEE will administer this RFA.

### **1.2 Purpose of the Grant**

This grant has two purposes: (1) Primarily, and substantially, provide excellent routine maintenance for the District’s investment in up to 500 GSI located in public spaces; and (2) secondarily, create GSI maintenance “sustainable employment” opportunities for local District residents, including returning citizens.

The grantee or grantees will work from the published map and database of GSI, and propose cost-effective and efficient approaches to achieve regular maintenance of selected, prioritized GSI and types of GSI. (See [GSI map](#).)

Stormwater runoff is a major contributor of pollution to the District’s waterways and the Chesapeake Bay. Stormwater is generated during a wet weather event as precipitation runs off impermeable surfaces, such as roofs, sidewalks, and roadways. The high volumes and velocities of urban stormwater runoff erode local waterways, polluting and clogging rivers downstream. Roof surfaces, parking lots, and turfgrass are significant sources of stormwater pollution. GSI lessens the negative impact of stormwater runoff on the District’s waterways.

### **1.3 Source of Funds**

American Rescue Plan Act

### **1.4 Competition for a Grant**

This RFA is competitive. Each Applicant must demonstrate its ability to carry out the activities for the grant for which it applies (called a “project”). A review panel will evaluate the

applications for each advertised grant according to the stated list of criteria in each project's description. The most responsive application/s will be recommended for a grant.

Specifically, an award will be made based on eligibility (Section 1.6), the extent to which the proposed project fits within the scope and available funding of the grant, strength of the application, and the organization's capacity to achieve the grant's goals.

Each Applicant may submit more than one application with different projects. If an Applicant responds with more than one project, it must do so in a separate proposal and submit all corresponding required documents.

### 1.5 Projects and Funds Available

DOEE seeks applications for:

Project Name	Project Amount
Green Stormwater Infrastructure Maintenance	\$1,100,000

### 1.6 Eligibility

The following are eligible to apply if an "x" appears:

- ☒ Nonprofit organizations, including those with IRS 501(c)(3) or 501(c)(4) determinations;
- ☒ Faith-based organizations;
- ☐ Government agencies;
- ☐ Universities/educational institutions; and
- ☒ Private Enterprises.

**Additional eligibility terms:** The Application must address how at least one person on each maintenance crew will have been certified in GSI maintenance by one of this RFA's accepted organizations.

Continuing conditions of eligibility are that the information in the application is complete and truthful and that the Applicant at all times is able to meet material conditions stated in its application. Ineligible applicants or applications will not be considered for review.

A material condition can be an eligibility condition or it can be some other condition that a reasonable DOEE evaluator would conclude is necessary to the Applicant's carrying out the proposed project.

For instance, an Applicant's nonprofit status was a condition of eligibility and the Applicant lost its nonprofit tax status. That would be a material change in condition, and would require immediate communication. Another example: Applicant's ability to fulfill the terms of the grant is based on the availability of skilled staff. DOEE awards the grant, and then these staff leave. The Applicant must immediately inform DOEE, and follow up in writing.

## 1.7 Definitions

**District** - The District of Columbia.

**Grantee** - The person provided a grant by the District, including a sub-grantee.

**Person** - A natural person or a legal entity, including a partnership, firm, association, joint venture, public or private corporation, trust, estate, commission, board, public or private institution, cooperative, the District government and its agencies, and the federal government and its agencies.

**Writing** - A tangible or electronic record of a communication or representation, including handwriting, typewriting, printing, photostat, fax, photography, word processing computer output, and e-mail. A "signed" writing includes an electronic symbol or process attached to, or logically associated with a writing, and executed or adopted by a person with the intent to sign the writing.

## 1.8 Permissible Use of Grant Funds

A grantee may use grant funds only for allowable grant expenditures. Grant funds related to work performed will be provided on a reimbursement basis, except that, in limited circumstances, an advance of funds may be provided for grant administration expenses in limited circumstances for good cause approved by DOEE at its sole discretion. (*See* Parts 3.2(6)(A), 6.2; Appendix 1 Paragraph 10.g)

## 1.9 Grant Monitoring

In its sole discretion, DOEE may use several methods to monitor the grant, including site visits, periodic financial reports and the collection of performance data. Each grant is subject to audit.

## 1.10 COVID-19 Vaccination Certification Requirement

The grantee(s) selected in response to this Request for Applications is/are required to comply with Mayor's Order 2021-099, COVID-19 Vaccination Certification Requirement for District Government Employees, Contractors, Interns, and Grantees, dated August 10, 2021, and all substantially similar vaccine requirements including any modifications to this Order, unless and until they are rescinded or superseded.

### 1.11 General Terms and Conditions

Appendix 1, “General Terms and Conditions” is incorporated by reference in this RFA. Applicants and Grantees must comply with any and all applicable terms and conditions appearing in Appendix 1.

### 1.12 RFA Conditions - Promises, Certifications, Assertions, and Assurances

Appendix 2, “Applicant’s Promises, Certifications, Assertions and Assurances” (“PCA”), is incorporated by reference in this RFA.

### 1.13 DOEE’s Authority to Make Grants

DOEE has grant-making authority under:

- The Renewable Energy Portfolio Standard Act of 2004 (D.C. Law 15-340, D.C. Official Code §§ 34-1431-40), including § 34-1436(b) and (c)
- The Water Pollution Control Act of 1984, effective March 16, 1985, as amended, (D.C. Law 5-188; D.C. Official Code § 8-103.01 *et seq.*);
- The District Department of the Environment Establishment Act of 2005, effective February 15, 2006, as amended, (D.C. Law 16-51, D.C. Official Code § 8-151.01 *et seq.*);
- The Comprehensive Stormwater Management Enhancement Amendment Act of 2008, effective March 25, 2009, (D.C. Law 17-371; D.C. Official Code § 8-152.01 *et seq.*); and
- Other applicable laws and regulations.

### 1.14 Conflicts between RFA and Applicable Law

If any requirement of this RFA conflicts with a provision of any applicable law, including a District or federal law or regulation, the applicable legal provision shall control.

## SECTION 2. SUBMISSION OF APPLICATION

### 2.1 RFA Release Date

The release date of this RFA is September 10, 2021

### 2.2 Additional RFA Information

This RFA is issued on DOEE's website at <https://doee.dc.gov/page/grants-and-other-funding>.

The contact person for this RFA is Jim Woodworth

### 2.3 Applications: When and Where

**When:** All applications must be received at the email address below by 11:59 p.m. on October 12, 2021

An application will be dated and recorded as "received" pending review by DOEE for completeness. DOEE considers an application to be "filed" only if all the required materials are submitted. A late or incomplete application will be considered received, not filed.

**What:** The contents of the Application are specified in Section 3. Each applicant must submit one electronic copy (e-mail) to o [2021gsimaintenance@dc.gov](mailto:2021gsimaintenance@dc.gov) formatted as a .pdf.

DOEE will not receive faxed copies.

### 2.4 Award Announcement

DOEE expects to notify each Applicant in writing of its award status within six to twelve weeks after the application due date.

### 2.5 Updates and Questions and Answers (Q & A)

Additional information may become available before the application is due. It is the Applicant's responsibility to stay up-to-date on the status and requirements of the grant for which it is applying.

DOEE welcomes questions seeking clarification of matters in this RFA. Questions about the RFA should be sent to [2021gsimaintenance@dc.gov](mailto:2021gsimaintenance@dc.gov) with "RE: RFA # 2021-2124-WPD" in the subject line.

DOEE will publish updates and Questions and Answers (Q&A) regarding the RFA at [doee.dc.gov](https://doee.dc.gov). DOEE will also create an email list to send updates and information regarding the RFA. A person can be put on the email list by immediately emailing



[2021gsimaintenance@dc.gov](mailto:2021gsimaintenance@dc.gov) with the subject line “RE: RFA # 2021-2124-WPD – Add me to the email list.”

DOEE will provide the information to those on the email list at the same time the information is uploaded to the DOEE website. DOEE will not mail out updates or Q&A materials.

The cutoff date for receipt of any questions is one week prior to the application deadline.

## SECTION 3. APPLICATION CONTENT

### 3.1 Format

An application must be formatted as follows:

- (a) When printed the application would appear on 8 ½" x 11" paper with one-inch margins;
- (b) Limit each project description to 10 double-spaced pages. Budget tables, flowcharts, photographs, the work plan, and other supporting documentation may be attached in addition to the project description; and
- (c) Scan the document and all of the attachments as one file.

### 3.2 Application Content

DOEE intends to fund a project that will benefit the environment, and, in particular, the environment of the District. The application should use the following format and explain, in increasing levels of detail, how the Applicant will accomplish this.

(Note: Before drafting the application, please read the project description very carefully to see if there are restrictions for the DOEE grant. For instance, certain activities might be required to take place in the District or the scoring might give extra points to labor sourced in the District.)

#### **(a) Cover Sheet**

Include a cover sheet in the format specified in Appendix 3. Make certain that the funding amount requested on the cover sheet matches total amount requested in your budget and budget narrative.

#### **(b) Project Summary**

Provide a brief one paragraph summary that explains the project. Please place the summary on the cover page (see Appendix 3). DOEE will not count this cover page as part of the 10-page application limit.

#### **(c) Project Description**

Organize the Application's 10-page section of your application in sections that follow the order of the criteria of the scoring box of Section 7. The review panel will seek an explanation of the following as they evaluate your application:

##### **(1) Goals and Objectives**

State how: (a) this project will benefit the environmental goal(s) described in Section 7, and (b) the goal(s) this project will advance. Typically a goal is long-term and diffuse. An objective is specific, and it will be discrete and identifiable. A project with one goal may have more than one objective. For example: The goal of a grant might be clean District rivers. Objectives of the application might be: implement a citywide recycling campaign; install trash traps.

## (2) Target Audience

Identify the target audience. Whom will this project engage? Whom will this project benefit and how?

## (3) Project Activities, Outputs, and Outcomes

Connect the funded activities to quantifiable outputs that produce desired outcomes. DOEE prefers projects that present quantifiable measures. This will show how the project will advance the goal(s) and meet the objective(s). This enables reviewers to evaluate what the proposed project will achieve if funded.

**Activities** describe how the objectives will be accomplished. A description of activities may include quantity, frequency, duration, and location. For example: “20 community members will participate in a single two-hour-long workshop. There will be a total of 10 workshops. The workshops will take place at community centers in the evening after typical workday hours.”

An **output** is a short-term quantifiable result that one or more activities achieve. DOEE will measure the outputs in order to determine if the grantee successfully implements the activities. For example: “At the end of the project period, 10 recycling workshops will be held, 200 community members will attend the workshops, and 25 social media posts will appear featuring information about recycling.”

A **project outcome** can be a short, medium, or long-term result. It can occur and/or continue during the project and after the project ends. It can be general in nature; or it can be quantifiable. A project can present multiple outcomes. Even if not quantifiable, DOEE may look for an outcome to show that the project is making progress toward achieving a goal.

For example: After several recycling workshops, this project will yield: (a) the Anacostia River will show substantially fewer floating recyclables by next spring, and (b) 60 % of workshop participants will increase their knowledge about where and what to recycle.

## (4) Project Budget

You must submit a numeric budget and a separate budget narrative as part of your application package. For the numeric budget, DOEE strongly urges Applicants to use the budget template format provided (Appendix 4).

The budget narrative must explain and justify every line item in the numeric budget. The explanation should be thorough enough to allow a reviewer to understand why expenditure levels were chosen and how the line item amounts were derived. For example: “Personnel will be paid \$xx per hour times xx hours.”

The budget will be the basis for DOEE’s later evaluation of the project and payment requests. DOEE will require documentation for grant payments, and the entire grant will be subject to audit.

i. DOEE’s standard policy on reimbursements vs. advances

In the overwhelming majority of cases, DOEE’s policy is to reimburse supported, approved, and allowable expenses. If the Applicant seeks an advance payment, it must request advance payment in its application and explain why an advance payment is requested. (*See* Parts 1.8, and 6.2; Appendix 1Section 10.g)

ii. Grantee matches and other contributions

Resources provided by the applicant should appear in the column titled “Non-DOEE Match,” meaning the Applicant intends to provide the indicated resources (i.e., the “match,” and that the resources do not come from DOEE). Entries in this column could include both dollars and the value of the in-kind contributions. For example, in-kind contributions can include staff time, volunteer services, already-paid licensing fees, materials, supplies, and the use of equipment or real estate.

Volunteer hours provided to a grantee or sub-grantee must be valued at rates consistent with those the Applicant’s organization ordinarily pays for similar work, including salary and fringes. If the grantee or a sub-grantee does not have employees performing similar work, the rates must be valued the same as rates ordinarily paid by employers in the same labor market for similar work.

iii. Allowable and non-allowed expenditures

The Applicant must show that all costs in the budget are allowable. Typical allowable costs are:

1. Rental of office space, some vehicles, and some equipment;
2. Employee salaries and benefits;
3. Contractor labor, including professional services;
4. Accounting and bookkeeping services;
5. Communications, including telephone and data services;
6. Printing, reproduction, including signage;
7. Materials and supplies;
8. Computers and printers;
9. Small tools;
10. Some field equipment, typically below \$5,000 in value;

11. Postage and shipping;
12. Necessary travel, meals and lodging; and
13. Insurance.

Non-Allowable costs include:

1. Most major equipment, like vehicles;
2. Lobbying, including salaries and overheads and out-of-pocket expenses;
3. Entertainment;
4. Interest payments on loans;
5. Most food; and
6. Land purchases.

iv. Applicant's indirect costs calculation

An Applicant may include its indirect costs in its budget calculation. (*See the Nonprofit Fair Compensation Act of 2020, DC Act 23-565 [effective March 2021]*) This may be done through use of a cost rate. In budget backup materials the Applicant should identify the basis for the calculation, addressing one of the following bases that District law permits it to choose:

1. Its current, unexpired, federal Negotiated Indirect Cost Rate Agreement (NICRA) rate, a negotiated rate with the federal government;

OR

2. One of the following methods:
  - a. 10% of the grant's direct costs;
  - b. A new negotiated rate with DOEE;
  - c. The same indirect rate that it has used with any District agency in the past 2 years; or
  - d. An independent Certified Public Account's (CPA) calculated rate using federal Office of Management and Budget (OMB) guidelines

(The cited statute required DOEE to provide for at least one of these listed methods. However, the statute excludes the following from the requirement: foundation; hospital; university; college.) If the Applicant proposes to use the services of a *nonprofit* subgrantee or contractor, it must propose to apply the same indirect cost rate to that entity's services. (See Appendix 1. General Terms & Conditions, Paragraph 14.g)

Federal rules always control for federal funding. For federal funding that passes through the District to the grantee, the indirect cost rate must be consistent with federal regulation 2 CFR 200.331 or its successor.

**(d) Applicant**

**(1) Organization**

Describe the named Applicant's history, mission, and current or past projects that demonstrate the organization's capacity to achieve the project's goals. The Applicant can reference its website or attach an organizational brochure or resume.

(2) Key personnel

Identify the key team members for the project and provide brief biographies or their resumes. The team members can be staff, volunteers, subgrantees, or contractors.

(3) Past performance on District Grants/Contracts

Identify District agencies from which the Applicant has received funding as a contractor, grantee, or partner in the past five years. This should be included as a separate attachment and is not counted toward the 10 page narrative limit. Provide specific information including:

1. The grant(s) or contract(s) title;
2. The District agency/agencies;
3. The grant number(s), contract number(s), or other identifier(s);
4. The amount(s) paid; and
5. What was accomplished as a result of the funding(s).

Briefly describe each dispute, investigation, and/or audit, if any, related to any of these District grants or contracts, grants, or partnerships in the past five years.

(4) Partners

DOEE awards a grant to one entity. When that entity is a legal corporation or partnership, DOEE would award to that entity. Sometimes a "partnership" is informal, just a working arrangement. The "partners" may have decided that multiple participants can improve the success of a project. Such a partnership might be between a government agency, nongovernmental organization (NGO), company, or an individual. One of these "partners" would be the Applicant.

If one or more partners are to be involved in the project, the Applicant must describe each partner's involvement and resource commitments. The partnering organization should attach a letter of support. If the letter is a hard copy letter, or scanned, the document should be written on the partner's letterhead, and signed by its authorized official. If the letter is an email, the email should clearly identify the writer and position, the partner, its mailing address, website, and an official's contact name, telephone number, and email address.

For the following types of partners, provide the documentation indicated.

i. District of Columbia Public Schools

If the Applicant will work with the District of Columbia Public Schools (DCPS), it must include a letter of support from the principal of each school with which it will work, and, if available, from each participating teacher. Teachers and principals may send a joint letter.

#### ii. Property Owner

If the Applicant will work on public land, it must submit a letter of support from an official of the managing agency. Similarly, if the Applicant is to work on private land, it must submit a letter of support from each property owner. If the project includes construction or installation, the letter must acknowledge that the property owner will be responsible (either directly or through an agreement with another entity) for project maintenance.

#### iii. Partnering Organization

Include a letter of intent from the collaborating organization(s) stating that it agrees to participate in the proposed project, describing the partner's involvement and resource commitments, and explaining the activities and/or services the partner will provide. The letter should demonstrate that the partnering organization understands the project presented for funding and the activities and/or services that the partner will provide. Under the grant terms and conditions, grant-related work or activity that is contracted, subcontracted or subgranted must be in compliance with applicable District laws, including business licensing requirements and documentation of a claimed tax exempt status.

#### iv. National Park Service - Exception

Projects that would be carried out on National Park Service (NPS) property will require NPS permission. Sometimes the paperwork supporting such permission takes extra time to complete. DOEE will accept more informal statements generated by responsible NPS officials, including an email statement of intent.

### 3.3 Work Plan

The application must include a proposed work plan that describes the project's activities and the timeline for project implementation.

### 3.4 Required Documents

Each of the following documents must be filed as part of the application package. If the document is not in this filing, DOEE may classify the grant application as "received" but not "filed," as specified in Section 2.3. However, if a government agency must issue a required document, and the Applicant has requested the document but not received it, DOEE may accept a copy of the Applicant's written request to the agency for the purpose of deeming the Application "filed."

**(a) Certificate of Good Standing**

Each Applicant must submit a current Certificate of Good Standing from the District Department of Consumer and Regulatory Affairs. DOEE requires that the submitted Certificate of Good Standing reflect a date within a six-month period immediately preceding the application's submission.

**(b) Certificate of Clean Hands**

The Grantee shall submit a validated Certificate of Clean Hands (CCH) from the DC Office of Tax and Revenue. The CCH can be obtained through MyTax.DC.gov

**(c) Promises, Certifications, Assertions, and Assurances**

Each Applicant must sign and submit the "Promises, Certifications, Assertions, and Assurances" ("PCA") in Appendix 2. Signing the PCA is a condition of eligibility for this grant. If the Applicant is not prepared to sign the PCA, it should not apply for a grant. Compliance with the promises, certifications, and assurances in the PCA is a continuing condition of eligibility for this grant.

The PCA must be signed by the Applicant or, if the Applicant is an organization, by a duly authorized officer of the organization.

The PCA also includes a sworn statement verifying that the Applicant is not in arrears (i.e. is "current") on all obligations outstanding to the District, including all District agencies. The Applicant must be "current" as of the date of the application and the date of a grant award. DOEE requires, as a condition of continuing eligibility, that a grantee stay current on such obligations during the period of the grant.

**(d) IRS W-9 Tax Form**

The Applicant must submit a current completed W-9 form prepared for the U.S. Internal Revenue Service (IRS). DOEE defines "current" to mean that the document was completed within the same calendar year as that of the application date.

**(e) Tax Exemption Affirmation Letter**

If the Applicant claims it is a nonprofit organization, the Applicant must prove its nonprofit status. The tax exemption affirmation letter should be provided. It is the IRS's determination letter of non-profit status. If this letter is not available, then the Applicant should provide its most recent IRS Form 990 tax return, if one was submitted. If no return has yet been filed, the organization can submit its application for tax-exempt status. If the group is a "supporting organization" with an IRS tax-exempt status determination, then that organization's tax exemption affirmation letter should also be submitted.



**If there is no IRS tax exemption affirmation letter because the organization is a religious organization, then the Applicant may submit the best evidence it can of its status.** Examples of potential best evidence for this purpose include, but are not limited to (i) a letter from the leader of the organization verifying that the organization is a religious group; (ii) a letter from the group's board chair or similar official, verifying that the organization is a religious group; (iii) the Applicant's most recently submitted state sales or other tax exemption form, if it exists (Form 164 in the District of Columbia); or (iv) the state's issued tax exemption certificate or card, if it exists. (*See IRS publication no. 1828, Tax Guide for Churches and Religious Organizations.*)

**(f) Applicant's Current Fiscal Year Budget**

The Applicant must submit its full budget, including projected income, for the current fiscal year, using a format at least as detailed as that presented in Appendix 4. Also, the Applicant should submit a comparison of budgeted versus actual income and expenses of the fiscal year to date.

**(g) Applicant's Financial Statements**

If the Applicant has undergone an audit or financial review, it must provide the most recent audited financial statements or reviews. If audited financial statements or reviews are not available, the Applicant must provide its most recent complete year's unaudited financial statements.

**(h) Separation of Duties Policy**

The Applicant must state how the organization separates financial transactions and duties among people within the organization in order to prevent fraud or waste. This may be a statement that already exists as a formal policy of the organization, or the Applicant may create the statement for purposes of the application. The applicant should state which of these situations apply.

This statement should:

1. Describe how financial transactions are handled and recorded;
2. Provide the names and titles of personnel involved in handling money;
3. Identify how many signatures the financial institution(s) require on the organization's checks and withdrawal slips; and,
4. Address other limits on staff and board members' handling of the organization's money.

**(i) System for Award Management (SAM) with Federal Government**

The Applicant must be registered in the System for Award Management at [www.sam.gov](http://www.sam.gov) and provide evidence of this registration as part of its application package to DOEE.

**(j) Indirect Costs Rate Documentation**

If the Applicant seeks a 10% indirect costs rate in its proposed budget, no special documentation is required. However, for another rate, include one of the following documents with the application:

1. Unexpired Federally Negotiated Indirect Cost Rate Agreement (NICRA);
2. DOEE negotiated agreement;
3. A letter from a District government agency, dated within the last two years stating the negotiated indirect cost rate; or
4. A letter from an independent CPA certifying the indirect cost rate was determined by the nonprofit organization's audited financial statements following OMB Uniform Guidance.

## **SECTION 4. REVIEW PANEL AND APPLICATION SCORING**

### **4.1 Review Panel**

This is a competitive grant. The review panel for the RFA will be composed of individuals with knowledge in the areas directly related to the RFA. The review panel will review, score and rank each Applicant's application.

The panel will recommend the most responsive application for award of the grant.

Review panels vary in size, but typically are made up of three to five people. Review panel members can be from DOEE staff or outside of DOEE, as long as they do not have a conflict of interest.

### **4.2 Scoring Criteria**

The reviewers score each application according to a list of criteria and the points available for each criterion. See Section 7.

The Applicant should read the grant description carefully to determine if matching funds or resources are required, or benefit from preference points.

## **SECTION 5. GRANTEE DOCUMENT REQUIREMENTS**

### **5.1 Submissions if Applicant Will Receive the Grant**

Upon acceptance of DOEE's award of the grant, the Grantee must provide the following documents.

#### **(a) Certificate of Insurance**

The Grantee shall submit a certificate of insurance giving evidence of the required coverage. *See* Appendix 1, General Terms and Conditions Section 29. Insurance, and Appendix 6. Insurance. Ordinarily DOEE will presume that the budget covers the cost of this required insurance and will not later adjust the grant award for this amount.

#### **(b) Assurance of Continued Truth and Accuracy**

Upon receiving DOEE's Grant Award Notice the Grantee must notify DOEE of any changes that may have occurred to its organization since the time of submission of its original application. This obligation continues through the grant period. See also Section 1.11. RFA Conditions - Promises, Certifications, Assertions, and Assurances.

## SECTION 6. REPORTING, PAYMENT, and OTHER PROVISIONS

### 6.1 Reporting Requirements

The grantee must submit the following reports as a condition of continuing eligibility for funding.

#### (a) Quarterly Status Reports

DOEE will provide a quarterly status report template with the Grant Award Notice. These reports, which discuss grant activities for the preceding quarter, will be due on each of the following dates.

Quarter 1 (January - March):	April 15
Quarter 2 (April - June):	July 15
Quarter 3 (July - September):	October 15
Quarter 4 (October - December):	January 15

If a report's due date falls on a weekend or District holiday, the report will be due the next business day.

The report must detail: actions taken in the quarter preceding the report date, highlight outputs achieved, provide a financial update, and describe unforeseen changes to project timetable, staffing, or partnerships, as well as any other changes that may affect project outcomes.

#### (b) Final Report

DOEE will provide a final report template with the Grant Award Notice. This report includes quantification by the grantee of the project's outputs and describes the extent to which project outcomes met or will meet the objectives of the funded application. The template requires submission of data and analysis of the data.

### 6.2 Reimbursement of Project Expenditures, Advances, and Disbursement of Funds

DOEE will not reimburse the grantee for any work undertaken before DOEE awards the grant.

DOEE will reimburse the grantee only for expenditures incurred to perform work under the grant. Ordinarily DOEE pays out grant funds as reimbursements. Advances are exceptions; not the rule. In limited cases, DOEE may advance funds at the beginning of the grant period for good cause approved by DOEE at its sole discretion. If the Applicant seeks an advance payment, **it must request such payment in its application** and explain why an advance payment is being requested. (*See* Parts 1.8, 3.2(c)(6)(A); Appendix 1 Section 10.g)

DOEE operates on the District's fiscal year, which starts October 1 of a calendar year and ends September 30 of the next calendar year. Ordinarily, there is no requirement for weekly or monthly invoicing. The grantee should submit each reimbursement request/invoice during the fiscal year for work performed within that same fiscal year. Each request/invoice must include supporting documentation.

Reimbursements will be mailed to the address on file for the grantee. DOEE may make electronic payments in lieu of mailing checks. DOEE generally pays timely, approved, supported grant invoices within 30 days after DOEE receives them.

DOEE may withhold up to the final 10% of a grant until all required activities have been completed, including receipt of the final report. The grantee should treat the prospect of such withholding as likely.

## **SECTION 7. PROJECTS PROPOSED FOR GRANT FUNDING**

### **7.1 Summary: Project Name, Period, and Available Funds**

#### **Project Name**

Green Stormwater Infrastructure Maintenance

#### **Introduction**

This grant has two purposes. The primary, and substantial, purpose is to provide high quality, cost-effective, routine maintenance for up to 500 District Government-owned green stormwater infrastructure practices (GSI) located on public property. A secondary purpose of this grant is to create GSI maintenance “sustainable employment” jobs for local District residents. (The Two Purposes)

The grantee will work from the published map and database of GSI linked to this RFA as Link 1. DOEE selects the GSI, and it may specify priorities. The grantee will implement high quality, cost-effective, efficient approaches to deliver routine GSI maintenance, as specified by the DOEE’s latest Stormwater Management Guidebook (SMG), presently the 2020 Stormwater Management Guidebook.

An application should include plans to achieve the project outcomes and outputs. An applicant should provide a comprehensive schedule and sequential plan that shows how the GSI work will be done AND how the sustainable employment of local residents, will be implemented.

Page count: The DOEE RFA form limits an application’s narrative. The following documents are not to be counted for that figure: resumes; organization charts; budget-related documents, including the budget narrative, and the production plan. The production plan may be no more than five pages.

#### **Project Period**

The project period is from the date of award through September 30, 2022. This grant can be extended for up to two (2) additional years, and it may be expanded in scope and amount, depending upon DOEE’s determination of need and quality, and the availability of additional funds.

#### **Available Funding**

The budget is \$1,100,000 for the grant’s initial one-year period. This project can be extended for two (2) additional years, and may be expanded in scope and amount, depending upon DOEE’s determination of need and quality, and the availability of additional funds

### **7.2 Project Description**

This grant has two purposes. First, DOEE is seeking proposals for a grantee to maintain GSI across the District, the primary, and substantial, purpose. Second, and secondarily, the grantee

will employ trained local persons, including returning citizens. These are the grant's Two Purposes.

The scope of the maintenance work is up to 500 GSI, almost all located on DC Government property, and almost all owned by DC Government. The types are principally: bioretention, rain gardens; and cisterns that are above and below ground. The following types are presently excluded from this scope, i.e. from the "production plan" work and estimates: streams and green roofs. Specifically, DOEE is seeking a grantee that proposes efficient, cost-effective, and timely, approaches to routine GSI maintenance.

A. Grant Elements: The grant, and, therefore, the application for the grant, addresses the following elements:

**1) Grant Element: Production plan:** Provide a "production plan" showing how the applicant would accomplish the purposes of the grant. Present it for each of three years. The specifics of the plan should show the DOEE reader how the applicant will tie the who, what, where, when, and how, to the how much of the grant.

**2) Grant Element: Assess and set priorities** of the work, based on the principal characteristics of each GSI.

- a) Set priorities among these aspects of the GSI:
  - i) Type;
  - ii) Location;
  - iii) Date of most recent inspection;
  - iv) Date of most recent maintenance;
  - v) Degree of impairment/non-functioning;
  - vi) Cost to complete; vii) Materials required;
  - viii) Size of the job;
  - ix) Difficulty level;
  - x) Staff availability; and
  - xi) Other.

**3) Grant Element: Guidelines:** Conform maintenance activities to guidelines of DOEE's latest edition, the 2020 Stormwater Management Guidebook (SMG) for the applicable practice type. (<https://doee.dc.gov/swregs>)

**4) Grant Element: Provide the maintenance services** specified in the SMG for the appropriate GSI.

**5) Grant Element: Budget and financial responsibility:**

- a) Provide a proposed budget and a narrative that explains your principal budget decisions. While the most detailed budget would be something to expect after winning a grant competition, and developed with grant funding, be aware that the more detailed and explained the application's budget is, the more persuasive it can be.

b) Show the grant budget represented by the positions, billing rates, and hours (or percent of time) dedicated for each person or type of person. This document should show the DOEE evaluator how the applicant has built up a substantial portion of its budget figures.

c) Explain how you believe the budget can be used as a management tool, for both the grantee and for DOEE. Address key budget decisions and identify the milestones. DOEE will want to know how many GSIs you project that you will service, and how you developed that number. Identify your overhead charges.

d) For general budget calculations, see the other, “boilerplate”, section of this RFA, for example, Part 3.1(c)(4)(iv) “Applicant’s indirect costs...”

**6) Grant Element: Financial responsibility:**

a) Persuade DOEE’s evaluators that the applicant is financially responsible. Show:

- i) Financial management policies and procedures;
- ii) Date of the last financial audit;
- iii) Description of major audit findings in the last five years;
- iv) Procedures for federal grants management; and
- v) Financial management software.

b) Provide a statement addressing whether the applicant can operate on a monthly reimbursement basis or whether it seeks an advance (pursuant to the “boilerplate” provision of this RFA). DOEE typically only reimburses grantees for approved costs. Other terms of this RFA address the procedure required to request an advance of some of the grant funds.

**7) Grant Element: Data management.** Good, timely, data will be critical to planning the work and reporting on the grant’s efforts.

a) Explain how applicants’ crews will enter data into a DOEE database system in real time, on site, and/or at the office. The data would allow the grantee’s management, and DOEE, to verify work, cost, and schedule. The data will help improve estimates for future cost and schedule.

**8) Grant Element: The target population for employment** includes unemployed and sub-optimally employed persons from DC communities, including Wards 5, 7 and 8.

a) The application should show how the applicant and its partners, or the parties and agencies with which it will engage, will enhance the likelihood of success for the persons targeted for this second purpose. The interactions may involve grantee staff, or they may involve close cooperation with NGOs and agencies specializing in training, placement, and skills enhancement.

b) Explain how the applicant would work with existing workforce development programs. One example is RiverCorps (<https://doee.dc.gov/node/1538781>), which offers some GSI training. Other District agencies are the Department of Employment Services



(DOES), the Office of Neighborhood Safety and Engagement (ONSE), and Mayor's Office on Returning Citizen Affairs (MORCA).

c) Address how personnel who come to work on a crew will have a base level of understanding of GSI design, function, performance, and maintenance. DOEE encourages an applicant to partner with existing job training and certification programs for GSI maintenance. See, for instance the GSI certification programs, such as The Chesapeake Bay Landscape Professional (CBLP) program or National Green Infrastructure Certification Program.

d) Compensation should be discussed and how many jobs are going to be created.

**9) Grant Element: The Applicant may profitably “partner” with other entities,** particularly, as just described, a grantee or agency involved in workforce development efforts.

**10) Grant Element: Personnel, staff, and qualifications.** The training, experience, and education of staff, particularly of managers, must be included in the application. Areas of expertise will address management of the project, implementation of the maintenance work, and systems management and control. In particular:

a) Describe the applicant’s experience in conducting GSI maintenance or similar activities during the last five years. Provide details. This may include a description of the programs operated, time period in which operated, sources of funding, budgets, number of GSI practices serviced, parameters for your performance measures, and resulting performance. Identify a contact person as a reference and how to reach that person.

b) Describe your past approaches to dealing with site work, and whether you subcontracted or you carried out the work with crews of your employees. Explain the logistics and key decisions, including how jobs were managed and tracked, the QC/QA measures, employee relations, and the experience with costs/budgets. Tell the reader what mistakes were made, what objectives missed, and how you addressed these issues.

c) If the applicant has little actual GSI maintenance experience, address the experience that you had, and explain how it benefits this grant.

d) For key personnel, provide resumes describing the education, training, and experience for each of the key program personnel who will be assigned to the funded work, and who will do the supervision and/or the work. Include managers, and professionals. If the person has not yet been hired, then describe the expected qualifications for the position and your hiring criteria.

e) Identify each type of job for delivery of the grant’s services. Describe the composition and operations of a crew. Provide an organizational chart for the funded work.

f) Qualifications: Running the grant’s GSI assessment and maintenance operations, will include:

- i) Familiarity with Quickbase;
- ii) Familiarity with DOEE's Surface and Groundwater Database (SGS) (found in Attachment B), or similar database app;
- iii) Familiarity with DOEE's Self Inspection and Self Reporting protocol (SISR) (found in Appendix 7), or similar protocol;
- iv) Mapping;
- v) Performing field assessments;
- vi) Scoping maintenance services;
- vii) Managing logistics;
- viii) Following standards for maintenance services and techniques; and
- ix) Familiarity with the SMG.

g) Management tools and procedures will include:

- i) Use of bookkeeping, payroll, and financial software;
- ii) Experience with, and knowledge of, financial controls;
- iii) Knowledge and experience of QA/QC measures;
- iv) Experience with OSHA and other safety programs;
- v) Staff management;
- vi) Assessment of staff training and experience;
- vii) Delivery of staff training;
- viii) Familiarity with The Chesapeake Bay Landscape Professional (CBLP) program, the National Green Infrastructure Certification Program (NGICP), or a substantial equivalent; and
- ix) Experience with a workforce development program.

**11) Grant Element: Reporting and monitoring.** DOEE will routinely monitor the grant. The objectives of the monitoring process include: (1) ensure the proper, timely, and cost effective, use of funds; (2) enhance the likelihood of realizing expected benefits; (3) provide funders and the community with transparency and accountability; (4) have in place an added level of quality assurance; and (5) facilitate technical assistance.

In addition to DOEE's standard grants reports, DOEE will require:

- a) Database-based reporting through a system that DOEE will have developed for all GSI maintenance work; and
- b) Timely reporting, within 24 hours of each maintenance visit.

The application should address the applicant's recommendations for data collection that will support (a) planning; (b) daily assignments; (c) individual GSI assessment; (d) flexible application of measures; and (e) overall system reporting. The grantee will NOT be expected to pay for development of the data app or the devices to be used for field reporting. But the applicant should describe and recommend the system, its software and hardware.

### 7.3 Project Outcomes, Outputs, and Deliverables

**Project Outcomes**

1. Better functioning GSI in the District
2. Improved District water quality
3. Increased number of GSI that receive regular maintenance
4. Increased mitigation of District properties' stormwater runoff
5. Increased employment opportunities for District residents

**Project Outputs**

1. At least 500 GSI receive regular DOEE-approved maintenance
2. New GSI-related jobs created for the period of the grant
3. Timely GSI maintenance reporting and up-to-date database

**Deliverables**

1. GSI inspection and reporting
2. GSI maintenance and reporting
3. DOEE GSI database populated with current data regarding inspection, maintenance and planning
4. A GSI production plan for each year of the grant
5. Participation in quarterly coordination meetings with DOEE, and its related grantees and contractors
6. Invoices that are timely and complete
7. Quarterly reports
8. A final report

**Application Scoring**

Each Application will be scored according to the criteria below.

	<b>Scoring Criteria</b>	<b>Points</b>
1	Provides a production plan that persuasively demonstrates that the applicant will cost effectively and timely implement the GSI maintenance purpose of the grant.	25
2	Presents extensive qualifications, meaning experience, training, and education, supporting the highest quality GSI maintenance work.	20
3	The applicant is likely to carry out the activities of the grant flexibly and creatively	10
4	Shows extensive experience, training, and education, supporting the likelihood of success for the sustainable employment objectives for disadvantaged District residents	10
5	Applicant's financial responsibility is fully demonstrated through its policies, procedures, and experience/track record	10
6	Presents a detailed and comprehensive budget and a budget narrative that constitute a sensible financial plan to accomplish the grant's stated purposes	15
7	Record keeping is likely to be of excellent quality and timely delivered	10

## SECTION 8. CHECKLIST FOR APPLICANTS

<p>Instructions for applicants: Ensure that you answer all of the questions below. In the column to the right, indicate Yes, No, or NA for “not applicable”. Submit this completed document as one of the first pages of your application.</p>		
1	Is the cover sheet (Appendix 3) completed and signed – and included as part of the application?	
2	Is the application from one entity, as the Applicant?	
3	Does the application include a Table of Contents?	
4	Is the named Applicant eligible for funding according to section 1.6 of the RFA?	
5	If project eligibility conditions appear in Section 1.6 of the RFA, does the project fit these conditions?	
6	Is the application formatted in accordance with section 3.1 of the RFA?	
7	Does the application include a project description and all of the information required for the scoring rubric that appears at the end of Section 7 of the RFA?	
8	Is there a numeric budget?	
9	Is there a budget narrative justifying each budget line item?	
10	Do the line items in the application budget consider the allowable and non-allowable costs in Section 3.2 subsection c.4 of the RFA?	
11	Does the application package include a work plan?	
12	Did the applicant read the General Terms and Conditions document (Appendix 1)?	
13	Does the application package include the first page and signed last page of the DOEE Promises, Certifications, Assertions, and Assurances (Appendix 2)?	

14	Does the application package include a Certificate of Good Standing that reflects a date within 6 months of the deadline date?	
15	Does the application package include a valid Certificate of Clean Hands?	
16	Does the application package include IRS W-9 Tax Form?	
17	If the Applicant is a nonprofit organization does the application package include a Tax Exemption Affirmation Letter?	
18	Does the application package include the applicant's current fiscal year budget?	
19	Does the application package include the applicant's most recent audited or unaudited financial statements?	
20	Does the application package include a Separation of Duties Policy as described in Section 3.4 subsection (h) of the RFA?	
21	Is the Applicant registered in the System for Award Management (SAM)?	
22	If the Applicant is eligible to claim indirect costs and is claiming more than 10% of direct costs, does the application package include supporting documentation for the indirect cost rate?	
23	If applicable, does the application package include letters of support from other entities?	
24	If requested, does the application include resumes of key personnel mentioned in the application?	

## **APPENDICES**

Appendix 1 – General Terms and Conditions

Appendix 2 – Promises, Certifications, Assertions, and Assurances

Appendix 3 – Cover Sheet

Appendix 4 – Grant Budget Template

Appendix 5 – Points for Local Entities

Appendix 6 – Insurance Requirements

Appendix 7 – Self-Inspection Self-Reporting Stormwater Management Facilities